#### From the YBE to the Left Braces

Ivan Lau (Joint Work with Patrick Kinnear and Dora Puljić)

University of Edinburgh

Groups, Rings and Associated Structures 2019 June 9-15 2019, Spa, Belgium

#### Small Challenge

Find **all** matrices  $R \in \mathbb{C}^{4 \times 4}$  which satisfy

 $(R \otimes I)(I \otimes R)(R \otimes I) = (I \otimes R)(R \otimes I)(I \otimes R)$ 

where *I* is the identity matrix on  $\mathbb{C}^{2\times 2}$ .

Reminder on **Kronecker product**  $\otimes$ : For  $S \in \mathbb{C}^{k \times m}$ ,  $T \in \mathbb{C}^{l \times n}$  $S \otimes T$  is the block matrix  $\in \mathbb{C}^{kl \times mn}$ 

$$S \otimes T = \begin{bmatrix} s_{11}T & \dots & s_{1m}T \\ \vdots & \ddots & \vdots \\ s_{k1}T & \dots & s_{km}T \end{bmatrix}$$

In particular,  $R \otimes I$  and  $I \otimes R$  are both  $\mathbb{C}^{8 \times 8}$ .

## Small Challenge

Find **all** matrices  $R \in \mathbb{C}^{4 \times 4}$  which satisfy

$$(R \otimes I)(I \otimes R)(R \otimes I) = (I \otimes R)(R \otimes I)(I \otimes R)$$

where *I* is the identity matrix on  $\mathbb{C}^{2\times 2}$ .

Naive approach: Introduce **16 variables** for the entries of R and try matching the LHS and the RHS for each entry.

$$R = \begin{bmatrix} x_1 & x_2 & x_3 & x_4 \\ x_5 & x_6 & x_7 & x_8 \\ x_9 & x_{10} & x_{11} & x_{12} \\ x_{13} & x_{14} & x_{15} & x_{16} \end{bmatrix}$$

# Small Challenge

Find **all** matrices  $R \in \mathbb{C}^{4 \times 4}$  which satisfy

 $(R \otimes I)(I \otimes R)(R \otimes I) = (I \otimes R)(R \otimes I)(I \otimes R)$ 

where *I* is the identity matrix on  $\mathbb{C}^{2\times 2}$ .

Naive approach: Introduce **16 variables** for the entries of R and try matching the LHS and the RHS for each entry.

Problem: Matching each entry is equivalent to solving a **multivariate cubic polynomial**. Matching all **64 entries** is equivalent to **solving 64 cubic polynomials in 16 variables**!

Solved by (Hietarinta 1993) with the help of a computer!

Grand Challenge: YBE and the R-matrix

Find **all** matrices  $R \in \mathbb{C}^{n^2 \times n^2}$  which satisfy

 $(R \otimes I)(I \otimes R)(R \otimes I) = (I \otimes R)(R \otimes I)(I \otimes R)$ 

where *I* is the identity matrix on  $\mathbb{C}^{n \times n}$ .

Naive approach: solve  $n^6$  cubic polynomials in  $n^4$  variables.

Still open for  $n \geq 3$ .

The equation

$$(R \otimes I)(I \otimes R)(R \otimes I) = (I \otimes R)(R \otimes I)(I \otimes R)$$

is called the Yang-Baxter equation (YBE). Matrices R that satisfy YBE are called *R***-matrices**.

# (Drinfeld 1992): Set-theoretic Solutions

Let X be a non-empty set. Let  $r: X^2 \to X^2$  be a bijective map.

We write  $r \times \mathrm{id}$  as the map  $X^3 \to X^3$  such that

$$(r \times id)(x, y, z) = (r(x, y), z).$$

Similarly,

$$(\operatorname{id} \times r)(x, y, z) = (x, r(y, z)).$$

The pair (X, r) is a **set-theoretic solution** of the YBE if it satisfies

$$(r \times id)(id \times r)(r \times id) = (id \times r)(r \times id)(id \times r).$$

Observe the similarity to the YBE:

$$(R \otimes I)(I \otimes R)(R \otimes I) = (I \otimes R)(R \otimes I)(I \otimes R).$$

#### Example: Flip Map

Let X be a non-empty set. We define  $r: X^2 \to X^2$  to be the map r(x, y) = (y, x) for all  $x, y \in X$ .

For any  $x, y, z \in X$ ,

$$(r \times id)(id \times r)(r \times id)(x, y, z) = (r \times id)(id \times r)(y, x, z)$$
  
=  $(r \times id)(y, z, x)$   
=  $(z, y, x).$ 

Similarly,

$$(\operatorname{id} \times r)(r \times \operatorname{id})(\operatorname{id} \times r)(x, y, z) = (\operatorname{id} \times r)(r \times \operatorname{id})(x, z, y)$$
  
=  $(\operatorname{id} \times r)(z, x, y)$   
=  $(z, y, x).$ 

 $\therefore (r \times \mathrm{id})(\mathrm{id} \times r)(r \times \mathrm{id}) = (\mathrm{id} \times r)(r \times \mathrm{id})(\mathrm{id} \times r).$ 

#### Constructing *R*-matrix from Set-theoretic Solution

Example: We construct the *R*-matrix from r(x, y) = (y, x) on  $X = \{x_1, x_2\}$ . Consider  $r(x_1, x_1) = (x_1, x_1) \implies R_{11}^{11} = 1$ ,  $r(x_1, x_2) = (x_2, x_1) \implies R_{12}^{21} = 1 \dots$ 



#### Constructing *R*-matrix from Set-theoretic Solution

Example: We construct the *R*-matrix from r(x, y) = (y, x) on  $X = \{x_1, x_2\}$ . Consider  $r(x_1, x_1) = (x_1, x_1) \implies R_{11}^{11} = 1$ ,  $r(x_1, x_2) = (x_2, x_1) \implies R_{12}^{21} = 1 \dots$ 



General case: Given a solution (X, r) where  $X = \{x_1, \ldots, x_n\}$ . Construct an  $n^2 \times n^2$  *R*-matrix with indices  $11, 12, \ldots, 1n$ ,  $21, \ldots, 2n, \ldots, n1, \ldots, nn$  such that  $R_{ij}^{kl} = 1$  if  $r(x_i, x_j) = (x_k, x_l)$ , and 0 otherwise.

#### Non-degenerate Involutive Set-theoretic Solution

We say a solution (X, r) is **involutive** if  $r^2 = id_{X^2}$ , i.e.

for all 
$$x, y \in X$$
,  $r(r(x, y)) = (x, y)$ .

Write r(x, y) = (f(x, y), g(x, y)) where f(x, -), g(-, y) are maps  $X \to X$ . We say (X, r) is **non-degenerate** if

for all  $x, y \in X$ , f(x, -), g(-, y) are bijective.

Notation: We will denote non-degenerate involutive set-theoretic solutions of YBE by solutions for convenience.

#### Entering Left Braces

Introduced in (Rump 2007) to help study solutions of the YBE. A left brace is a triple  $(B, +, \circ)$  satisfying axioms

(B1) 
$$(B, +)$$
 is an abelian group;  
(B2)  $(B, \circ)$  is a group;  
(B3)  $a \circ (b + c) + a = a \circ b + a \circ c$ .

Example: Define  $(B, +) = (\mathbb{Z}_p, +)$ . Define  $(B, \circ)$  such that

$$a \circ b = a + b.$$

Call this a trivial brace.

#### Left Braces Yield Solutions

Notation: Write  $b^{-1}$  as the inverse of b in  $(B, \circ)$ .

**Theorem (Rump 2007)**: Let *B* be a left brace. Define a map  $r_B \colon B^2 \to B^2$  as

$$r_B(a,b) = (a \circ b - a, z \circ a - z)$$

where  $z = (a \circ b - a)^{-1}$ . Then  $(B, r_B)$  is a solution of the YBE.

Significance: Left braces give us solutions! Notation: We call the pair  $(B, r_B)$  the **associated** solution of *B*. Example: Any trivial brace. Note that the associated *r* is flip map.

$$r(a,b) = (a + b - a, b^{-1} + a - b^{-1}) = (b,a).$$

# Finding all Left Braces $\implies$ Finding all Solutions

**Theorem (Cedó, Gateva-Ivanova & Smoktunowicz 2017)**: Let (X, r) be a finite solution of the YBE. Then we can construct a (finite) left brace  $B \supseteq X$  such that its associated map  $r_B \colon B^2 \to B^2$  satisfies

$$r_{B|_{\chi^2}}=r.$$

Significance: Any finite solution (X, r) is embedded in some finite left brace  $(B, r_B)$ !

# Finding all Left Braces $\implies$ Finding all Solutions

**Theorem (Cedó, Gateva-Ivanova & Smoktunowicz 2017)**: Let (X, r) be a finite solution of the YBE. Then we can construct a (finite) left brace  $B \supseteq X$  such that its associated map  $r_B \colon B^2 \to B^2$  satisfies

$$r_{B|_{\chi^2}} = r.$$

Significance: Any finite solution (X, r) is embedded in some finite left brace  $(B, r_B)$ !

(Cedó, Jespers & Del Rio 2010): The task of finding all finite solutions can be broken down into two sub-problems:

Problem 1: Classify all finite left braces.

Problem 2: For each left brace *B*, classify **all** embedded subsolutions  $(X, r_{B|_{X^2}})$ .

# Finding all Left Braces $\implies$ Finding all Solutions

**Theorem (Cedó, Gateva-Ivanova & Smoktunowicz 2017)**: Let (X, r) be a finite solution of the YBE. Then we can construct a (finite) left brace  $B \supseteq X$  such that its associated map  $r_B \colon B^2 \to B^2$  satisfies

$$r_{B|_{\chi^2}} = r.$$

Significance: Any finite solution (X, r) is embedded in some finite left brace  $(B, r_B)$ !

(Cedó, Jespers & Del Rio 2010): The task of finding all finite solutions can be broken down into two sub-problems:

Problem 1: Classify all finite left braces.

Problem 2: For each left brace *B*, classify **all** embedded subsolutions  $(X, r_{B|_{X^2}})$ .

Problem 2 is solved by (Bachiller, Cedó & Jespers 2016)!

 $\therefore$  Finding all solutions is reduced to Problem 1!

# Braces: Crossover of Groups and Rings (I)

A left brace (B, +, ∘) relates two groups (B, +) and (B, ∘) through

$$a \circ (b + c) + a = a \circ b + a \circ c.$$

► A left brace (B, +, ∘) can be equipped with the operation \* defined by

$$a * b = a \circ b - a - b.$$

It can be checked that \* is left-distributive over +. That is,

$$a*(b+c) = a*b + a*c$$

for  $a, b, c \in B$ . Then (B, +, \*) satisfies all ring axioms except

- Right-distributivity
- Associativity

Intuitively, you can say (B, +, \*) is "like" a Jacobson radical ring with these two axioms being relaxed.

Good Artists Copy, Great Artists Steal? (I)

Basic definitions with analogues in group or ring theory:

- Subbrace
- Morphisms
- Ideals
- Left/Right Ideals
- Quotient braces
- Direct Product
- Semidirect Product

# Good Artists Copy, Great Artists Steal? (II)

Well-studied concepts with analogues in group or ring theory:

- ▶ **Solvable**: there exists a sequence of ideals  $\{0\} = B_0 \subseteq B_1 \subseteq \cdots \subseteq B_m = B$  with  $B_i/B_{i-1}$  trivial
- **Prime**: if I \* J = 0 for I, J ideals of B, then one of I, J is zero
- Semiprime: if I \* I = 0 for I an ideal of B, then  $I = \{0\}$
- ▶ **Nil**: for all  $b \in B$ , there is  $n \in \mathbb{N}$  such that  $b^n = 0$
- ▶ Left nil: (b \* (b \* ... (b \* (b \* (b \* b)...)) = 0
- **Right nil**:  $(\cdots (b * b) * b) * b) \cdots * b) * b) = 0$
- ▶ **Nilpotent**: there is  $n \in \mathbb{N}$  such that  $B^n = \{0\}$
- Left nilpotent:  $(B * (B * ... (B * (B * (B * B)...) = \{0\})$
- **Right nilpotent**:  $(\cdots (B * B) * B) * B) \cdots * B) * B) = \{0\}$

**Solvable** important for classification of **groups**. (Semi) prime, nil, nilpotent important for classification of rings. Analogues important for classification of left braces? Good Artists Copy, Great Artists Steal? (III)

- A semiprime ring R is a subdirect product of prime rings. (Wedderburn–Artin Theorem)
- A semiprime left brace B is a subdirect product of prime left braces (Konovalov, Smoktunowicz & Vendramin 2018).

Statement in rings  $\implies$  Analogous statement in left braces?

- ► **Groups** *G*, *H* are solvable if and only if their semidirect product is solvable.
- Left braces G, H are solvable if and only if their semidirect product is solvable (new result).

Statement in groups  $\implies$  Analogous statement in left braces?

Problem: Ring-theoretic techniques may not work as they often rely on right-distributivity or/and associativity of \*.

Recall: Left brace is like Jacobson radical ring but with right-distributivity and associativity of \* relaxed.

General questions: To what extent can we mimic? If so, is it straightforward or tricky? If not, why?

# Right Distributivity vs Associativity

Recall: Left brace is like Jacobson radical ring but with right-distributivity or associativity of \* relaxed.

Question: Are **both** of these axioms essential for a left brace to be a ring?

Answer: Exactly one is sufficient.

(B,+,\*) right-distributive  $\implies (B,+,*)$  is a ring (Rump 2007).

(B, +, \*) associative  $\implies (B, +, *)$  is a ring (Lau 2018).

# Probabilistic and Combinatorial Brace Theory?

5/8 Theorem in Probabilistic Group Theory: **Randomly** choose two elements of a finite group. If the probability that they commute is bigger than 5/8, the group is abelian!

Approximate subgroup in Arithmetic Combinatorics: Finite subsets that are **almost** closed under products/ behaves like a subgroup "up to a constant error".

Any similar interesting and meaningful concept/statements for Left Braces?

# Thank you for listening!

#### References I

- Bachiller, D., Cedó, F. & Jespers, E. (2016), 'Solutions of the Yang–Baxter equation associated with a left brace', <u>Journal of</u> Algebra **463**, 80 – 102.
- Cedó, F., Gateva-Ivanova, T. & Smoktunowicz, A. (2017), 'On the Yang–Baxter equation and left nilpotent left braces', <u>Journal of</u> Pure and Applied Algebra **221**(4), 751–756.
- Cedó, F., Jespers, E. & Del Rio, A. (2010), 'Involutive Yang-Baxter groups', <u>Transactions of the American</u> <u>Mathematical Society</u> **362**(5), 2541–2558.
- Drinfeld, V. (1992), On some unsolved problems in quantum group theory, <u>in</u> P. P. Kulish, ed., 'Quantum Groups', Springer Berlin Heidelberg, Berlin, Heidelberg, pp. 1–8.
- Hietarinta, J. (1993), 'Solving the two-dimensional constant quantum Yang-Baxter equation', <u>Journal of Mathematical</u> Physics **34**, 1725–1756.

#### References II

- Konovalov, A., Smoktunowicz, A. & Vendramin, L. (2018), 'On skew braces and their ideals', <u>Experimental Mathematics</u> pp. 1–10.
- Lau, I. (2018), 'Left Brace With The Operation \* Associative Is A Two-sided Brace', <u>arXiv: 1811.04894v2 [math.RA]</u>.
- Rump, W. (2007), 'Braces, radical rings, and the quantum Yang-Baxter equation', Journal of Algebra **307**(1), 153 170.